



# CT-33-C

New York State Department of Taxation and Finance

## Captive Insurance Company Franchise Tax Return

Tax Law - Article 33

All filers must enter tax period:

beginning [ ] ending [ ]

Amended return

Employer identification number (EIN) File number Business telephone number ( ) If you claim an overpayment, mark an X in the box 
Legal name of corporation Trade name/DBA
Mailing name (if different from legal name above) State or country of incorporation Date received (for Tax Department use only)
c/o
Number and street or PO box Date of incorporation
City State ZIP code Foreign corporations: date began business in NYS
NAICS business code number (from NYS Pub 910) If address/phone above is new, mark an X in the box  If you need to update your address or phone information for corporation tax, or other tax types, you can do so online. See Business information in Form CT-1.
NYS principal business activity Audit (for Tax Department use only)

Federal return was filed on (mark an X in one): 1120-L  1120-PC  Consolidated  Other:

A. Pay amount shown on line 19. Make payable to: New York State Corporation Tax
Attach your payment here. Detach all check stubs. (See instructions for details.)
Payment enclosed
A

### Computation of tax and installment payments of estimated tax (see instructions)

#### Tax on New York State gross direct premiums (see instr.)

Table with 4 rows: 1 First \$20,000,000 of gross direct premiums x .004 2 \$20,000,001-\$40,000,000 of gross direct premiums x .003 3 \$40,000,001-\$60,000,000 of gross direct premiums x .002 4 Excess of \$60,000,000 of gross direct premiums x .00075

#### Tax on New York State reinsurance premiums (see instr.)

Table with 4 rows: 5 First \$20,000,000 of reinsurance premiums x .00225 6 \$20,000,001-\$40,000,000 of reinsurance premiums x .0015 7 \$40,000,001-\$60,000,000 of reinsurance premiums x .0005 8 Excess of \$60,000,000 of reinsurance premiums x .00025

#### Computation of tax and estimated tax due

Table with 3 rows: 9 Tax due based upon premiums (add lines 1 through 8) 10 Minimum tax 5,000 00 11 Tax due (enter the greater of line 9 or 10)

#### First installment of estimated tax for next period:

Table with 12 rows: 12a If you filed a request for extension, enter amount from Form CT-5, line 2 12b If you did not file Form CT-5, see instructions 13 Total (add line 11 and line 12a or 12b) 14 Total prepayments from line 27 15 Balance (if line 14 is less than line 13, subtract line 14 from line 13) 16 Estimated tax penalty (see instructions; mark an X in the box if Form CT-222 is attached) 17 Interest on late payment (see instructions) 18 Late filing and late payment penalties (see instructions) 19 Balance due (add lines 15 through 18 and enter here; enter the payment amount on line A above) 20 Overpayment (if line 13 is less than line 14, subtract line 13 from line 14) 21 Amount of overpayment to be credited to next period 22 Refund of overpayment (subtract line 21 from line 20)

431001140094

Continued on page 2



**Composition of prepayments on line 14** (see instructions)

		Date paid	Amount
<b>23</b> Mandatory first installment .....	<b>23</b>		
<b>24a</b> Second installment from Form CT-400 .....	<b>24a</b>		
<b>24b</b> Third installment from Form CT-400 .....	<b>24b</b>		
<b>24c</b> Fourth installment from Form CT-400 .....	<b>24c</b>		
<b>25</b> Payment with extension request (from Form CT-5, line 5) .....	<b>25</b>		
<b>26</b> Overpayment credited from prior years .....		<b>26</b>	
<b>27</b> Total prepayments (add lines 23 through 26; enter here and on line 14) .....		<b>27</b>	

Have you been audited by the Internal Revenue Service in the past 5 years? ..... Yes  No   
 (if Yes, list years) \_\_\_\_\_

<b>Third – party designee</b> (see instructions)	Yes <input type="checkbox"/> No <input type="checkbox"/>	Designee's name (print)	Designee's phone number ( )
	Designee's e-mail address		PIN

**Certification:** I certify that this return and any attachments are to the best of my knowledge and belief true, correct, and complete.

<b>Authorized person</b>	Printed name of authorized person	Signature of authorized person	Official title
	E-mail address of authorized person	Telephone number ( )	Date
<b>Paid preparer use only</b> (see instr.)	Firm's name (or yours if self-employed)	Firm's EIN	Preparer's PTIN or SSN
	Signature of individual preparing this return	Address	City State ZIP code
	E-mail address of individual preparing this return	Preparer's NYTPRIN	Date

Attach a copy of your complete federal return and a copy of your *New York Captive Insurance Company Annual Statement* as filed with the New York State Department of Financial Services.  
 See instructions for where to file.

